

Attorney's Pocket PP16156.002 (35784/239423)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Frey II
Appl. No.: 09/976,620
Filed: October 12, 2001
For: METHOD FOR TREATING ISCHEMIC EVENTS AFFECTING THE
CENTRAL NERVOUS SYSTEM

Confirmation No.: 1674
Group Art Unit: 1647
Examiner: Christopher J. Nichols

RECEIVED

JUL 02 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

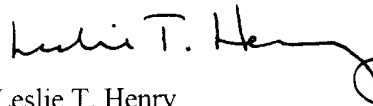
TECH CENTER 1600/2900

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(c)**

Sir:

Attached is a list of documents on form PTO-1449 together with copies of each identified document. Also enclosed is a translation or a concise explanation of each non-English language document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

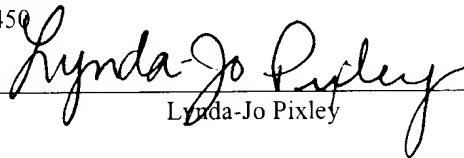


Leslie T. Henry
Registration No. 45,714

CUSTOMER NO. 00826
ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000
Tel Raleigh Office (919) 862-2200
Fax Raleigh Office (919) 862-2260

"Express Mail" mailing label number EV 185285184 US
Date of Deposit June 27, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Lynda-Jo Pixley

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/976,620
Filing Date October 12, 2001
First Named Inventor Frey II
Group Art Unit 1647
Examiner Name Christopher J. Nichols
Attorney Docket Number PP16156.002 (35784/239423)

RECEIVED

JUL 02 2003

TECH CENTER 1600/2900

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	US-5,093,317	03-03-1992	Lewis <i>et al</i>	
	2	US-5,420,112	05-30-1995	Lewis <i>et al</i>	
	3	US-5,578,324	11-26-1996	Dohi <i>et al.</i>	
	4	US-5,624,898	04-29-1997	Frey II	
	5	US-5,652,214	07-29-1997	Lewis <i>et al</i>	
	6	US-5,703,045	12-30-1997	Lewis <i>et al</i>	
	7	US-5,714,460	02-03-1998	Gluckman <i>et al.</i>	
	8	US-5,733,871	03-31-1998	Alps <i>et al.</i>	
	9	US-5,776,897	07-07-1998	Lewis <i>et al</i>	
	10	US-5,861,373	01-19-1999	Gluckman <i>et al.</i>	
	11	US-6,180,603	01-30-2001	Frey II	
	12	US-6,342,478	01-29-2002	Frey II	
	13	US-6,313,093	11-06-2001	Frey II	
	14	US-6,407,061	06-18-2002	Frey II	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
	15	WO 90/14838	12-13-1990	Cephalon, Inc.		
	16	WO 91/07947	06-13-1991	Ramsey Foundation		
	17	WO 93/02695	02-18-1993	Genentech, Inc.		
	18	WO 93/20836	10-28-1993	Cephalon, Inc.		
	19	EP 0 724 885 A1	08-07-1996	Hisamitsu Pharmaceutical Co. Inc.		

Examiner
Signature

Date
Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO
(Revised 04/2003)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/976,620
Filing Date	October 12, 2001
First Named Inventor	Frey II
Group Art Unit	1647
Examiner Name	Christopher J. Nichols
Attorney Docket Number	PP16156.002 (35784/239423)

FOREIGN PATENT DOCUMENTS

	20	WO 97/31626	09-04-1997	Teijin Limited		
	21	WO 97/21449	06-19-1997	Colorado State University Research Foundation		
	22	WO 97/34618	09-25-1997	The General Hospital Corporation		

RTA01/2138845v1

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.